DT03 Rec'd PCT/PT0 0 9 DEC 2004

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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PCT/EP2003/006296 INTERNATIONAL FILING DATE 14 June 2003

ATTORNEY'S DOCKET NUMBER Sartorius-11

PRIORITY DATE 12 July 2002

TITLE OF INVENTION

CROSSFLOW-FILTRATION METHOD FOR BEVERAGES											
APPLICANT(S) FOR DO/EO/US Michael Rodenberg, Bernhard Diel, Matthias Grabosch, and Dieter Armgart											
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:											
1.	\square	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3.	Ø	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4.		The US has been elected (Article 31).									
5.		A copy of the International Application as filed (35 U.S.C. 371 (c)(2))									
	a.	is attached hereto (required only if not communicated by the International Bureau).									
l	b.	□ has been communicated by the International Bureau.									
	C.	☐ is not required, as the application was filed in the United States Receiving Office (RO/US).									
6.		An English language translation of the International Application as filed (35 U.S.C.									
	a.	☑ is attached hereto.									
1	b.	has been previously submitted under 35 U.S.C. 154(d)(4).									
7.	Ø a.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau).									
	b.	☐ have been communicated by the International Bureau.									
ĺ	C.	☐ have not been made; however, the time limit for making such amendments has NOT expired.									
	d.	have not been made and will not be made.									
ſ		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10	. Ш	An English language translation of the annexes to the International Preliminary Examination Report under PCT									
١		ns 11 to 20 below concern document(s) or information An Information Disclosure Statement under 37 CFR 1.97 and 1.98.									
	. Ø										
ı	. 🛮	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13	. 🗵	A preliminary amendment.									
14	. 🛮	An application Data Sheet under 37 CFR 1.76.									
15	. 🗆	A substitute specification.									
16	. 🗆	A power cf attorney and/or change of address letter.									
17	. 🗆	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.									
18	i. 🗆	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19). 🗀	A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).									
20).□	Other items or information:									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 07-2004)
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U.S. APPLICATION	APPLICATION NO. 4 if known, see 37 CFR INTERNATIONAL APPLICATION NO			CATION NO.	ATTORNEY'S DOCKET NUMBER						
10/	10/517566 PCT/EP2003/006296					Sartorius-11					
·	g fees are submitted:		CALCULATIONS	PTO USE ONLY							
BASIC NATIONAL FEE (37 CFR 1.492 (a)(1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor											
international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1110.00											
International prelimin USPTO but Internation	ary examination fee (3 onal Search Report pre	\$950.00									
International prelimin but international sear	nary examination fee (3 rch fee (37 CFR 1.445)	\$790.00									
International prelimin but all claims did not	nary examination fee (3' satisfy provisions of Po										
and all claims satisfie	nary examination fee (3 ed provisions of PCT A	\$100.00	,								
	ENTER APPROP			IOUN	IT =	\$ \$950.00					
Surcharge of \$130.00 months from the earl	0 for furnishing the oath liest claimed priority da	or declar te (37 C	aration later than 30 FR 1.492(e)).			\$.					
CLAIMS	NUMBER FILED	NL	JMBER EXTRA		RATE						
Total claims	20 - 20	=	0	Х	\$18.00	\$ \$0.00					
Independent claims	1 - 3	=	0	x	\$88.00	\$ \$0.00					
Multiple Depender	nt Claims (if applical				+ \$300	\$					
,			ABOVE CALC			\$ \$950.00					
Applicant claims reduced by 1/2.	small entity status. See	37 CFR	1.27. The fees indic	ated a	bove are	\$ \$0.00					
				SUB	TOTAL =	\$ \$950.00					
Processing fee of \$1	30.00 for furnishing the	English	translation later than	1 30 m	onths from	\$					
the earliest claimed	priority date (37 CFR 1	.492(1)).	TOTAL NATIO	ΝΔΙ	FFF =	\$ \$950.00	-				
Fee for recording the	e enclosed assignment	(37 CFR	1.21(h)). The assig	nment	must .	\$ \$40.00					
be accompanied by	an appropriate cover sh										
			TOTAL FEES	ENC	LOSED =	\$ \$990.00 Amount to be	\$				
						refunded: Amount to be	\$				
						charged:					
a. 🛛 A check in	the amount of	\$990.00	to cover the at	oove fe	es is enclose	ed.					
b. Please char	rge my Deposit Accoun	t	in th	e amo	unt	to cover the	above fees.				
c. 🔀 The Commi	issioner is hereby autho	orized to	charge any additions	al fees	which may b	e required, or credit ar	ny overpayment				
to Deposit A		1030	A duplicate cop								
d. Fees are to information	be charged to a credit n should not be inclu	card. W/	ARNING: Information his form. Provide cr	n on th edit ca	is form may b ard information	pecome public. Credit n and authorization on	card PTO-2038.				
NOTE: Where an a	ppropriate time limit st be filed and granted	under 37	7 CFR 1.494 or 1.49	5 has	not been me	t, a petition to revive	(37 CFR				
				·· - 4-14	1.5		^				
	SEND ALL CORRESPONDENCE TO:										
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Certificate of Accuracy

TRANSLATION From German into English

STATE OF NEW YORK **COUNTY OF NEW YORK**

On this day personally appeared before me who, after being duly sworn, deposes and states: Elisabeth A. Lucas

That he is a translator of the German and English languages by profession and as such connected with the LAWYERS' & MERCHANTS' TRANSLATION **BUREAU**;

That he is thoroughly conversant with these languages;

That he has carefully made the attached translation from the original document written in the German language; and

That the attached translation is a true and correct English version of such original, to the best of his knowledge and belief.

SUBSCRIBED AND SWORN TO BEFORE ME **THIS**

NOV 0 9 2004

Susan Tapley

Notary Public, State of New York

No. 01TA4999804

Qualified in Queens County Certificate filed in New York County

. and Kings County

Commission Expires July 27, 2006

10/517566

CERTIFICATE Of Applicant(s): Michael	Docket No. Sartorius-11								
Application No.	Filing Date Concurrently herewith	Examiner 	Customer No. 001218	Group Art Unit					
Invention: CROSSFL	OW-FILTRATION MET	HOD FOR BEVERAGES							
I hereby certify that	the following corresponde	ence:							
U.S. National Phase of PCT/EP2003/006296 (Identify type of correspondence)									
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on									
	December 9, 2004 (Date)								
Janis van Akelijen (Typed or Printed Name of Person Mailing Correspondence)									
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